

### III. False Designation of Origin and Unfair Competition

14. The Court does not find it necessary to pass upon whether defendant's use of plaintiff's registered marks also violates any other federally protected or common law rights and does not do so.

#### Plaintiff's Further Requests for Relief

15. Absent special circumstances not thus shown to be present in this case, plaintiff has no right to the exclusive use of any or all of team colors.

3 ] 16. A word which is primarily geographically descriptive, as the word "Boston" is not a trademark unless it has attained secondary meaning, which has not yet been shown in this case. 15 U.S.C. §§ 1052(e)(2),

4 ] 17. A word or symbol which is merely descriptive of the goods or services with which used, which defendant alleges the illustration of a hockey player is for plaintiff's service, is not a trademark unless it has attained secondary meaning, which has not yet been shown in this case. 15 U.S.C. §§ 2(e)(1), (f).

5 ] 18. Plaintiff seeks relief in this portion of its motion under Section 43(a) of the Trademark Act (15 U.S.C. § 1125a). To prevail under Section 43(a) plaintiff must establish (1) that the word "Boston," the illustration of a key player and the cap colors, have become collectively associated with it and/or (2) that complained of activity involves the misuse of distinguishing characteristics of plaintiff, its goods or services, and/or (3) that the complained of activity involves the deceptive and misleading use of words, names, symbols, or colors, or any combination thereof, which has been adopted by plaintiff to identify its goods or services, and distinguish them from goods, or services, of others. See *General Corporation v. Hallmark Pool Corporation*, 259 F.Supp. 383, 151 USPQ 372, and *Id. v. Poynter Products, Inc.*, 283 F.Supp. 158 USPQ 450 (S.D. N.Y. 1968).

Plaintiff has also requested and urged defendant be preliminarily enjoined from selling knit caps which are gold and black contain an emblem featuring a hockey player over the word "Boston." The Court is presently persuaded that the record thus made in this respect demonstrates likelihood of ultimate success on the merits sufficient to justify a preliminary injunction. Accordingly, this branch of the motion is denied without prejudice to plaintiff's prayer for a permanent injunction in this respect after a trial is had.

#### ORDER

It is hereby ordered, that upon plaintiff's filing with the Clerk of this Court an un-

**EXHIBIT**

3

US Serial No.

09/576,944

dertaking in the sum of \$5,000.00 to compensate defendant for such costs and damages as it may incur if it is held ultimately that defendant was wrongfully restrained or enjoined, it is

Ordered, that pending the trial and final determination of this case, defendant, its agents, officers, servants, employees, attorneys and all persons in active concert with any of them, be and hereby are enjoined and restrained from manufacturing, selling, offering to sell, advertising or distributing, any knit caps or other items of merchandise which bear or display thereon or on bags, tags, packages or displays associated therewith, any reproduction, counterfeit, copy or colorable imitation of either (1) the word "BRUINS" or (2) the "B" device shown by U. S. Trademark Registration No. 872,363 granted July 1, 1969.

### Court of Customs and Patent Appeals

In re SMYTHE AND SHAMOS

No. 8855

Decided June 28, 1973

#### PATENTS

##### 1. Court of Customs and Patent Appeals — Briefs (§28.05)

##### Court of Customs and Patent Appeals — Record (§28.30)

First patent's disclosure, incorporated into second patent by reference, is not to be ignored merely because first patent was not included in court record, there being no reason to doubt accuracy of quotation from first patent as contained in applicants' brief.

##### 2. Claims — Broad or narrow — In general (§20.201)

##### Construction of specification and claims — Comparison with other claims (§22.40)

skilled in the art to compounds which it is later desired to claim; mere omission of claim limitations does not suggest omission of steps or parts.

##### 3. Specification — Sufficiency of disclosure (§62.7)

Court cannot agree that in every case where description of invention in specifica-

tion is narrower than that in claim there has been a failure to fulfill description requirement in 35 U.S.C. 112; each case must be decided on its own facts; thus, instant question is whether application originally filed clearly conveyed in any way to those skilled in the art, to whom it is addressed, the information that applicants invented analysis system with an inert fluid as segmentizing medium; if it did, applicants made a written description of invention within meaning of first paragraph of section 112.

##### 4. Specification — Sufficiency of disclosure (§62.7)

Case is not one where there is unpredictability such that applicants' description of air or other inert gas would not convey to one skilled in the art knowledge that applicants invented an analysis system with a fluid segmentizing medium; in other cases, particularly, but not necessarily, chemical cases, where there is unpredictability in performance of certain species or subcombinations other than those specifically enumerated, one skilled in the art may be found not to have been placed in possession of a genus or combination claimed at a later date in prosecution of patent application; it is predictability of elements, be they chemical or mechanical, which is determinative; since broader concept of using "inert fluid" would naturally occur to one skilled in the art from reading applicants' description of use and functions of segmentizing media specifically described, there is no basis for denying applicants claims which recite segmentizing medium broadly as "inert fluid."

##### 5. Amendments to patent application — New matter (§13.5)

##### Interference — Interference in fact (§41.40)

##### Interference — Reduction to practice — Constructive reduction (§41.755)

##### Specification — Sufficiency of disclosure (§62.7)

By disclosing in patent application a device that inherently performs a function, operates according to a theory, or has an advantage, applicant necessarily discloses that function, theory, or advantage even though he says nothing concerning it; application may later be amended to recite function, theory, or advantage without introducing new matter; rule applies in context of ex parte rejection under description requirement of first paragraph of 35 U.S.C. 112, the right to make a claim in an interference, the

right to rely upon prior application under section 120 which complies with requirements of first paragraph of section 112, or a new matter rejection of claims.

#### 6. Claims — Indefinite — In general (\$20.551)

Claims are not rejected under first paragraph of 35 U.S.C. 112 because they recite "fluid" which includes some "liquids" which might not work since such liquids would be predictably inoperative and thus would never be selected by one skilled in the art; to require applicants to exclude such inoperative materials would cause failure to comply with second paragraph of section 112 because claims would be so detailed as to obscure the invention.

#### Particular patents—Analysis Apparatus

Smythe and Shamos, Automatic Analysis Apparatus and Method, claims 34, 37 to 40, 42 to 44, and 47 to 50 of application allowed.

Appeal from Board of Appeals of the Patent Office.

Application for patent of William J. Smythe and Morris H. Shamos, Serial No. 369,695, filed May 25, 1964; Patent Office Group 170. From decision rejecting claims 34, 37 to 40, 42 to 44, and 47 to 50, applicants appeal. Reversed; Baldwin, Judge, dissenting in part with opinion.

ERIC P. SCHELLIN and SCHELLIN & HOFFMAN, both of Arlington, Va., for appellants.  
S. WM. COCHRAN (RAYMOND E. MARTIN of counsel) for Commissioner of Patents.

Before MARKEY, Chief Judge, RICH, BALDWIN, and LANE, Associate Judges, and WATSON, Judge, United States Customs Court, sitting by designation.

RICH, Judge.

This appeal is from the decision of the Patent Office Board of Appeals, adhered to on reconsideration, affirming the rejection of claims 34, 37-40, 42-44, and 47-50 of appellants' application serial No. 369,695, filed May 25, 1964, entitled "Automatic Analysis Apparatus and Method." We reverse.

#### The Invention

The invention relates to a continuous, automatic analysis system wherein discrete liquid samples, perhaps containing blood or other body fluids, are successively introduced into an apparatus as a continuous stream, the individual samples being separated by a segmentizing medium which, as originally claimed and as taught by the specification, is "air or other gas

which is inert to the liquid" sample transmitted. The appealed claims are directed to both method and apparatus.

In the analysis apparatus a chemical reagent is automatically added to each discrete liquid sample to produce a color reaction indicative of the particular constituent in the sample to be tested, and the samples with the intervening portions of segmentizing medium are passed through the sight passageway of a flow cell as a continuous stream. The sight passageway forms part of a colorimetric analysis apparatus. Leading segments of the liquid samples, which are arranged in duplicate, one following another, perform, along with the segmentizing medium, a cleansing function and each following segment has a volume at least equal to that of the sight passageway. When the sight passageway of the flow cell is fully occupied by the liquid sample to be analyzed, a recorder for the analysis, which receives its input from the colorimeter, is made operational.

Representative claims, for the purpose of dealing with the rejections, are as follows (emphasis ours):

34. A method of automatic quantitative analysis of a plurality of liquid samples each disposed in a respective container, wherein said samples are off-taken by an off-take device and are transmitted successively as a flowing stream to an analytical device including a flow cell having a sight passageway, said method including:

for each sample container in succession, coupling said off-take device to such sample container, and in alternation therewith, to a source of an *inert fluid immiscible with said liquid samples*, thereby to off-take a segment of each of said liquid samples and intermediate segments of the inert fluid;

transmitting said segments of the liquid samples and inert fluid as a flowing stream to said analytical device; and

passing said flowing stream including segments of both the liquid samples and inert fluid through the sight passageway of the flow cell, the volume of at least one homogeneous portion of each liquid sample being at least equal to the volume of the sight passageway of the flow cell.

47. A method of automatic quantitative analysis of a plurality of liquid samples each disposed in a respective container, wherein said samples are off-taken by an off-take device and are transmitted successively as a flowing stream to an analytical device including a colorimeter having a flow cell with a sight passageway, said method including:

for each sample container in succession, coupling said off-take device to such sample

container, and in alternation therewith, to source of an *inert gas* immiscible with said liquid samples, thereby to off-take a segment of each of said liquid samples and intermediate segments of the inert gas;

transmitting said segments of the liquid samples and inert gas as a flowing stream to said analytical device;

passing said flowing stream including segments of both the liquid samples and inert gas through the sight passageway of the flow cell, the volume of at least one homogeneous portion of each liquid sample being at least equal to the volume of the sight passageway of the flow cell;

measuring the optical density of the liquid samples passing through the sight passageway of the flow cell; and

interrupting the operation of said recorder except when said portion of each sample having a volume at least equal to the volume of the sight passageway of the flow cell is in the sight passageway.

#### Summary of Prior Art and Rejections

The following three patents were relied upon as prior art:

Skeggs 2,797,149 June 25, 1957

Skeggs 2,879,141 Mar. 24, 1959

Baruch 3,193,358 July 6, 1965 (fil July 2, 1962)

Claims 34, 37-40, 42, 43, and 48-50 have been rejected for obviousness under 35 U.S.C. 1 on Skeggs '141 in view of Skeggs '149 and further in view of Baruch. As will be discussed in greater detail shortly, the examiner and the board rely particularly upon the language of claims 9 and 10 of Skeggs '149 for a teaching of what appears to be a critical limitation in these claims.

Claims 34, 37-40, 43, and 44 were rejected under 35 U.S.C. 112, paragraph one, for alleged failure to describe the invention insofar as the term "inert fluid" encompasses liquids since the specification and original claims refer only to "air or other gas which is inert to the liquids transmitted" as the analysis samples.

Claims 47-50 were rejected under 35 U.S.C. 112, paragraph one, it being alleged that the specification does not enable one skilled in the art to use an "inert gas" as a segmentizing medium in the invention.

#### OPINION

The rejections and the positions of the parties will now be dealt with.

#### The Section 103 Rejection

This is the type of case where the invention resides in the discovery that an element or step which allegedly has always been included in prior art apparatus or method can be omitted merely with omission of its function

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#### OPINION

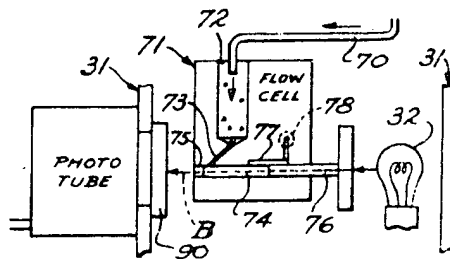
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#### The Section 103 Rejection

This is the type of case where the invention  
 resides in the discovery that an element or step  
 which allegedly has always been included in  
 prior art apparatus or method can be omitted,  
 not merely with omission of its function but

with improved results. Appellants admit, and  
 the prior art of record establishes, that the  
*general* apparatus for performing appellants'  
 method invention is known. Appellants con-  
 tend, however, that the prior art apparatus  
 and method always provided for what is called  
 "venting" of the segmentizing medium, or  
 "debubbling," just prior to passing the succe-  
 ssive liquid samples through the sight pas-  
 sageway in the flow cell.

Although there is some dispute about the  
 teachings of the Skeggs '149 patent, the gen-  
 eral nature of the invention and the prior art  
 practice of venting or debubbling is illustrated  
 by reference to a portion of Fig. 3 of that pat-  
 ent:



Skeggs '149 discloses automatic apparatus  
 adaptable to blood analysis having sample-  
 feeding apparatus similar to appellants'.<sup>1</sup> Liq-  
 uid samples flowing through a tube in a con-  
 tinuous stream, the samples being separated in  
 the tube by air as a segmentizing medium, are  
 supplied to a colorimeter, which, with a  
 recorder, translates color changes into a record  
 of the amount of a given ingredient in each  
 sample. On the way to the colorimeter a re-  
 agent is mixed with each sample to produce a  
 color reaction which can be measured by the  
 colorimeter. The reagent-treated samples with  
 the segmentizing medium, air, interspersed  
 therebetween, together flow as a segmented  
 liquid stream "into a fluid line 70 leading to a  
 transparent plastic flow cell 71 provided with  
 an *open chamber*." (Emphasis ours.) "A com-  
 municating duct 73 leads from the lower end  
 of *open chamber* 72 to a horizontal cylindrical  
 passage 74" (emphasis ours) wherein the  
 photometric analysis is performed by record-  
 ing the variations in light received by the  
 phototube from the light source 32. Venting  
 of the segmentizing air medium from the stream  
 is claimed in claim 10 of Skeggs '149. The  
 open chamber 72 in the above figure is the  
 point at which this venting takes place. Pre-  
 sumably the dots shown in the open chamber  
 are the draftsman's way of representing rising

<sup>1</sup> Skeggs '149 issued to Technicon International,  
 Ltd., a New York Corporation, and Skeggs '141 is-  
 sued to Technicon Instruments Corporation, a New  
 York corporation, which appears to be prosecuting  
 the present application.

bubbles of the segmentizing medium, which is a gas entrained in a liquid.

Relating this to the invention here, appellants' discovery is that it is desirable to omit the prior art step of venting the air or other gas, i.e., "debubbling" the segmented stream of fluid samples. Appellants argue that they were the first to discover the advantages of omitting the debubbling step. They contend that the prior art cited by the Patent Office nowhere suggests that venting or debubbling can be dispensed with.

Appellants' brief explains the advantages of not debubbling as follows:

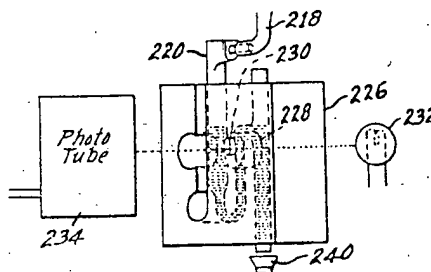
2. Prior to the invention of Appellants, it was generally the practice to vent or "debubble" the segmentizing medium just prior to passing the successive liquid sample[s] through a sight passageway included in the flow cell. \*\*\* The practice of such venting or "debubbling" resulted in the leading and trailing portions of adjacent liquid samples becoming contiguous. As a result, intermixing occurred between such contiguous portions or successive liquid samples while passing from the "debubbling" apparatus. The passage of such intermixed portions resulted in contamination of the sight passageway of the flow cell. To alleviate the problem an uncontaminated portion of a successive adjacent liquid sample, therefore, had to be passed to "wash" the sight passageway before meaningful analysis could be effected on the remaining uncontaminated portion of such successive samples. As a result, the processing rate of the liquid samples was limited and, also, the initial volume of a liquid sample required for analysis was excessive. These limitations of the prior art apparatuses were due directly to the intermixing of successive liquid samples when moving between the "debubbler" portion of the apparatus and through the sight passageway of the flow cell.

3. The present invention overcomes these prior art limitations and provides for a substantially increased processing rate and, also, a significant reduction in the initial volume of samples required for analysis. These results are achieved by maintaining the integrity of the individual liquid samples in the continuous stream while passing through the sight passageway of the flow cell.

With this as background, we continue with a description of the other Skeggs reference, '141.

The question with respect to Skeggs '141 is whether or not it discloses venting. The Patent Office view is that no venting is shown. We disagree. The following portion of Fig. 8

shows the flow cell and related parts. It is described as a "diagrammatic representation":



We agree with the solicitor that the stream passing through line 218 is an air-segmented liquid stream. The question is whether the flow cell 220 is so constructed as to vent the air from the stream before the sample is analyzed.

Skeggs '141 contains, in addition to what the above drawing shows, the following statement (emphasis ours):

The colored mixture flows into the flow cell 220, which preferably is of the type illustrated and claimed in the copending application of Andres Ferrari, Jr., Serial No. 516,300, filed June 17, 1955, and assigned to the assignee hereof. The flow cell 220 is mounted in a holder 226 provided with a light passageway 228 having a constricted opening 230 adjacent one arm of the flow cell 220. Light passing from the light source 232 through the restricted light aperture 230 is transmitted through the colored mixture in the flow cell 220 to a photoelectric device or photo tube 234.

Does this disclose a vented flow cell, though no venting is mentioned in the above quotation? We think it does. Appellants have not conclusively wrapped up their proofs as they might have because, as correctly noted by the solicitor, they did not include the Ferrari patent in the record in this court, but their brief points out that the Ferrari application is now patent No. 2,983,184, issued May 9, 1961, and they quote from the disclosure of this patent, which is incorporated by reference into Skeggs '141, as follows (omissions and insertions ours for clarity):

\*\*\* as the dialyzate is discharged \*\*\* into [the] flow cell \*\*\* the air present between adjacent segments of said dialyzate freely escapes into the atmosphere since [the] inlet opening \*\*\* of said cell is open thereto. Thus it will be apparent that no air can be trapped into the liquid column \*\*\* which is subjected to the light beam.

[1] We do not consider that this disclosure, incorporated into Skeggs '141, is to be ignored merely because the Ferrari patent has not been included in the record in this court. There is

no reason to doubt the accuracy of appropriate quotation from Ferrari. We note particularly two factors indicating its reliability: it is consistent with Skeggs '141 Fig. 8 and it has been challenged by the solicitor who has access to the Ferrari patent. Furthermore, the same allegations of venting in the flow cell Skeggs '141 were made before the board of appeals:

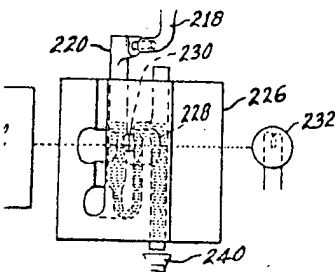
The flow cell 220 is of the type particularly described in the A. Ferrari patent No. 2,983,184, filed on June 17, 1955 \*\*\* The flow cell 220 includes an open ended, or vented, mixing chamber wherein the continuous stream of liquid samples directed along conduits 218 is discharged, so as to purposefully vent the air bubbles. The liquid sample is contiguous, intermix \*\*\*

We simply have to disagree with the conclusions that "Skeggs '141 does not disclose the venting present in Skeggs '141" that "The drawing in Skeggs '141 does not appear to require such venting." The statements are inconsistent with the disclosure of Skeggs '141, which includes a description of the structure of the Ferrari cell, "diagrammatically" shown in Fig. 8 incorporated by reference.

Obviousness of an unvented flow cell claimed by appellants therefore cannot be predicated on the disclosures of the prior art of Skeggs '149 or '141. The board of appeals held that venting is "present in Skeggs '141." The board and the solicitor are clearly in suggesting that the flow cell of Skeggs '141 is unvented. One other basis for finding obviousness is argued, predicated on a claim which exists between claims 9 and 10 of Skeggs '149. Claim 10 reads (emphasis ours):

10. Apparatus for analyzing liquid samples containing a crystalloid constituent and a noncrystalloid constituent, comprising a dialyzer having a diaphragm and an inlet at one side of the dialyzer for the passage of a stream of liquid containing liquid through the dialyzer, said side of the diaphragm, said dialyzer also having an inlet and an outlet on the other side of said diaphragm for the passage of streams of liquid into and out of the dialyzer at said other side of the dialyzer to form a stream of liquid containing crystalloid substance which passes through the diaphragm from said inlet side thereof means for directing the liquid of said last mentioned stream to a photometric analysis thereof, a flow-cell to receive the treated liquid leading to and from the dialyzer, the liquid to and from the flow-cell and means

flow cell and related parts. It is designated a "diagrammatic representation":



with the solicitor that the stream through line 218 is an air-segmented stream. The question is whether the cell is so constructed as to vent the air stream before the sample is analyzed. The drawing shows, in addition to what the drawing shows, the following statement (ours):

The colored mixture flows into the flow cell which preferably is of the type illustrated and claimed in the copending application of Andres Ferrari, Jr., Serial No. 1,000,000, filed June 17, 1955, and assigned to the assignee hereof. The flow cell 220 is in a holder 226 provided with a passageway 228 having a constricted portion 230 adjacent one arm of the flow cell. Light passing from the light source through the restricted light aperture is transmitted through the colored mixture in the flow cell 220 to a photoelectric photo tube 234.

Close a vented flow cell, though not mentioned in the above quotation? does. Appellants have not concluded up their proofs as they might, as correctly noted by the solicitor, not include the Ferrari patent in this court, but their brief points to the Ferrari application is now patent, issued May 9, 1961, and they disclose of this patent, which is disclosed by reference into Skeggs '141, omissions and insertions ours for

the dialyzate is discharged \*\*\* flow cell \*\*\* the air present between segments of said dialyzate passes into the atmosphere since opening \*\*\* of said cell is open it will be apparent that no air is introduced into the liquid column \*\*\* subjected to the light beam.

not consider that this disclosure, into Skeggs '141, is to be ignored the Ferrari patent has not been in record in this court. There is

no reason to doubt the accuracy of appellants' quotation from Ferrari. We note particularly two factors indicating its reliability: it is consistent with Skeggs '141 Fig. 8 and it has not been challenged by the solicitor who had ready access to the Ferrari patent. Furthermore, the same allegations of venting in the flow cell of Skeggs '141 were made before the board, as follows:

The flow cell 220 is of the type more particularly described in the A. Ferrari, Jr. patent No. 2,983,184, filed on July 15, 1955 \*\*\*. The flow cell 220 includes an open ended, or vented, mixing chamber wherein the continuous stream of liquid samples directed along conduits 216 and 218 is discharged, so as to purposefully vent the air bubbles. The liquid samples, now contiguous, intermix \*\*\*

We simply have to disagree with the board's conclusions that "Skeggs '141 does not mention the venting present in Skeggs '149" and that "The drawing in Skeggs '141 does not appear to require such venting." These statements are inconsistent with the whole disclosure of Skeggs '141, which includes the description of the structure of the Ferrari flow cell, "diagrammatically" shown in Fig. 8, incorporated by reference.

Obviousness of an unvented system as claimed by appellants therefore cannot be predicated on the disclosures of the specifications of Skeggs '149 or '141. The board admitted that venting is "present in Skeggs '149." The board and the solicitor are clearly wrong in suggesting that the flow cell of Skeggs '141 is unvented. One other basis for finding obviousness is argued, predicated on a difference which exists between claims 9 and 10 of Skeggs '149. Claim 10 reads (emphasis ours):

10. Apparatus for analyzing liquid samples containing a crystalloid constituent and a noncrystalloid constituent, comprising a dialyzer having a diaphragm and an inlet and an outlet at one side of the diaphragm for the passage of a stream of sample-containing liquid through the dialyzer at said side of the diaphragm, said dialyzer also having an inlet and an outlet at the other side of said diaphragm for the passage of streams of liquid into and out of the dialyzer at said other side of the diaphragm to form a stream of liquid containing the crystalloid substance which is diffused through the diaphragm from said first mentioned side thereof means for treating the liquid of said last mentioned stream for colorimetric analysis thereof, a colorimeter flow-cell to receive the treated liquid, tubes leading to and from the dialyzer for conducting the liquids to and from the dialyzer and to the flow-cell and means for in-

roducing air into the tubes leading to the dialyzer for forming segmented streams of fluid composed of alternate segments of liquid and air passing through said tubes and the dialyzer and through the connections of the latter to said flow-cell, and a vent for the escape of the air from said stream of treated liquid received in said flow-cell whereby an uninterrupted column of liquid is provided in the cell for colorimetric analysis of said liquid.

Claim 9 of the patent is substantially identical down to the emphasized clause, which is omitted in claim 9. Based on this difference, and a similar omission of venting in other claims such as 20 and 22, the Patent Office argues that a comparison of claims

\*\*\* would clearly suggest to the plant engineer that the flow of segmented streams "to said flow cell" encompasses flow thereof through such cell.

We cannot agree. We do not believe that, either as an abstract principle or as applied to the facts of this case, the omission of the vent in one or more claims of Skeggs '149 coupled with the inclusion of the vent in another claim itself would suggest to one skilled in the art that venting may be dispensed with. The specification of Skeggs '149 just does not support such a construction; the air-segmented stream "passes into a fluid line 70 leading to a transparent plastic flow cell 71 provided with an open chamber 72 \*\*\*." (Emphasis ours.)

[2] As to the major part of the argument of the solicitor, we do not find the difference between claims 9 and 10 to suggest or indicate to one of ordinary skill in the art that "the flow cell in question can at the option of the designer or 'plant engineer' be vented or not." The practice, time out of mind, has been that claims may be drafted as broadly as the prior art will allow, and that appears to be all that Skeggs has done in patent '149. The mere omission of claim limitations does not suggest omission of steps or parts. Furthermore, claim 9 still reads on apparatus containing venting means because of the word "comprising." In re Halley, 49 CCPA 793, 296 F.2d 774, 132 USPQ 16 (1961); Swain v. Crittendon, 51 CCPA 1459, 332 F.2d 820, 141 USPQ 811 (1964). Compare the discussion of reliance upon the absence of limitations in claims in another context in In re Cole, 51 CCPA 919, 326 F.2d 769, 140 USPQ 230 (1964).

Accordingly the rejection of claims 34, 37-40, 42, 43, and 48-50 under § 103 is reversed.

#### The § 112 Rejections "Inert Fluid"

Claims 34, 37-40, 43, and 44 describe the segmentizing medium as an "inert fluid." The board affirmed the examiner's rejection of

these claims saying, "As to the \*\*\* term [fluid], the specification provides no antecedent basis or description of such fluids and therefore does not support the claims." The board noted that "additional structure is necessary to adapt the disclosed contribution to employ fluids other than air." The board further noted that the term "fluid" is "so broad

The solicitor states that the "Board's rationale makes it clear that it regarded 35 U.S.C. 112, paragraph 1 as the proper statutory basis of its rejection," and particularly argues that appellants fail to *describe* their invention in their specification.<sup>2</sup> The solicitor, explaining the basis of this rejection on the facts of this case, takes the position that "where the description of the invention is narrower than the scope of protection sought by the claims \*\*\* the claims may be rejected under Section 112, paragraph 1, even though the term 'fluid' embraces both 'liquid' and 'gas' \*\*\* and even though it 'would not encompass undue experimentation to arrive at a satisfactory method and structure to employ liquid and gases other than air' \*\*\*." As the solicitor notes in his brief,

The important point here is that appellants did not recite the use of 'fluid' broadly as a segmentizing medium in describing their invention. \*\*\*

Insofar as the term 'fluid' in claims 34, 37-40, 43 and 44 encompasses liquids, there is no *description* thereof in appellants' specification.

[3] We cannot agree with the broad proposition, apparent in the above quoted language, that in every case where the description of the invention in the specification is narrower than that in the claim there has been a failure to fulfill the description requirement in section 112. Each case must be decided on its own facts. The question which must be answered is whether the application originally filed in the Patent Office clearly conveyed in any way to those skilled in the art, to whom it is addressed, the information that appellants invented the analysis system with an inert fluid as the segmentizing medium. See *In re Ruschig*, 54 CCPA 1551, 379 F.2d 990, 154 USPQ 118 (1967). If it did, then appellants have made a written description of their invention within the meaning of the first paragraph of 35 U.S.C. 112. Let us look at the description of the invention in the original application.

The segmentizing medium serves the func-

<sup>2</sup> The board may have also treated the rejection of these claims under § 112 under the "enablement" section of the first paragraph, but the solicitor has narrowed the rejection by his argument to the "description" requirement.

tions, appellants' specification tells us, of "separating one [liquid] sample from another in the apparatus and for washing the conduits and the flow cell \*\*\*." The essential function of separating discrete samples from each other is performed because the medium takes the shape of the supply lines and the flow cell through which it passes, while to some extent resisting any force which may tend to change its volume. This quality is precisely that of a "fluid" generically and of a "liquid" in particular. The "washing" function of the segmentizing medium appears to us, indeed, to be better performed using liquid rather than gas, and the Patent Office has given us no facts which would justify a conclusion that one skilled in the art would not find the disclosure to inherently teach that it is the very characteristics of *fluids* which are needed in a segmentizing medium here. We note also that the prior art Kessler patent No. 3,047,367, issued in 1962, made of record by appellants here and before the examiner, shows the use of a *liquid* segmentizing and cleansing medium instead of "air or other inert gas" in a system entitled "Automatic Analysis With Fluid Segmentation." In that patent it is stated that:

In accordance with the present invention and pursuant to one of the objects thereof, the use of air or other inert gas as the cleansing agent is dispensed with and replaced by a liquid, in order to obviate certain difficulties which may be encountered when air or other compressible fluids are employed as the cleansing agents.

We believe that the use of an inert *fluid* broadly in this invention would naturally occur to one skilled in the art reading the description of the use of air or other gas as a *segmentizing medium* to separate the liquid samples. While fluid is a broader term, encompassing liquids, as noted by the solicitor, the specification clearly conveys to one skilled in the art that in this invention the characteristics of a fluid are what make the segmentizing medium work in this invention.

[4] This is not a case where there is any unpredictability such that appellants' description of air or other inert gas would not convey to one skilled in the art knowledge that appellants invented an analysis system with a fluid segmentizing medium. In other cases, particularly but not necessarily, chemical cases, where there is unpredictability<sup>3</sup> in performance of certain species or subcombinations other than those specifically enumerated, one skilled in the art may be found not to have

<sup>3</sup> As we pointed out in *In re Cook*, 58 CCPA 1049, 1054, 439 F.2d 730, 734, 169 USPQ 298, 301 (1971), it is the predictability or the unpredictability of the elements, be they chemical or mechanical, which is determinative.

been placed in possession of a genus combination claimed at a later date in the citation of a patent application. See, *ample*, *In re DiLeone* (DiLeone II), 593, 436 F.2d 1033, 168 USPQ 598 and *In re DiLeone* (DiLeone I), 589, 436 F.2d 1404, 168 USPQ 592 where Judge Baldwin, in dissent, stated CCPA at 929, 436 F.2d at 1406-1407, USPQ at 594-95:

In the case before us, the Patent Office and in particular, the examiner, who must be presumed by us to be the pertinent art in the absence of to the contrary—have disputed the scope of appellants' invention. It is obvious from the language of the claim that the examiner's objections were not keeping in mind the well-known predictability of the chemical sciences that the examiner's objections were not. Beyond asserting that they are to the broad claims they are seeking appellants have not contradicted this. Feeling, as I do, that the description requirement should serve to assure ordinary skill in the pertinent art, fact, be taught by a specification. I conclude that the disclosure before us does not adequately describe the subject matter claimed. [Footnote omitted]

Here, however, where the broader using "inert fluid" would naturally occur to one skilled in the art from reading the description of the use and functions of segmentizing media specifically described in no basis for denying appellants' which recite the segmentizing medium as an "inert fluid." The alternative upon patent applicants, the Patent Office, the public the undue burden of litigating the case of applicants, reading and examining the case of the Patent Office, and storing, in the case of the public, of the very many structural or equivalents of disclosed elements which are already stored in the mind of one skilled in the arts, ready for instant reading the descriptions of specific steps.

We are not saying that the disclosure of other gas which is inert to sample *by itself* is a description of "inert fluid" media. Rather, it is a description of the *properties and functions* of other gas "segmentizing media" in appellants' specification which suggest to a person skilled in the art that appellants' invention includes the "fluid" broadly. The Kessler patent provides some additional evidence of the fact that one skilled in the automatic sampling art, and as such it supports ap-

ants' specification tells us, of one [liquid] sample from another tube and for washing the conduits cell \*\*\*." The essential function discrete samples from each other because the medium takes the supply lines and the flow cell as it passes, while to some extent force which may tend to change this quality is precisely that of a "liquid" in particular, "washing" function of the segment appears to us, indeed, to be better using liquid rather than gas. The Patent Office has given us no facts to justify a conclusion that one skilled in the art would not find the disclosure teaches that it is the very characteristics which are needed in a segment here. We note also that the Kessler patent No. 3,047,367, issued of record by appellants here, the examiner, shows the use of a segmentizing and cleansing medium in or other inert gas, in a system Automatic Analysis With Fluid Segmentation that patent it is stated that:

in accordance with the present invention as to one of the objects thereof, air or other inert gas as the segmentizing agent is dispensed with and replaced by a liquid, in order to obviate difficulties which may be encountered or other compressible fluids are used as the cleansing agents.

It is noted that the use of an inert fluid as the segmentizing medium is an invention which would naturally occur to one skilled in the art reading the disclosure of the use of air or other gas as a segmentizing medium to separate the liquid from the fluid is a broader term, encompasses, as noted by the solicitor, the clearly conveys to one skilled in the art this invention the characteristics which make the segmentizing medium of this invention.

It is not a case where there is any doubt as to the fact that appellants' description of other inert gas would not convey to one skilled in the art knowledge that appellants' invention is an analysis system with a fluid segmentizing medium. In other cases, particularly in chemical cases, the unpredictability<sup>3</sup> in performance of certain species or subcombinations is more specifically enumerated, one skilled in the art may be found not to have

been noted out in In re Cook, 58 CCPA 917, 367 F.2d 730, 734, 169 USPQ 298, 301 (1966), the unpredictability of the results, be they chemical or mechanical, is an inherent characteristic of the process.

been placed in possession of a genus or combination claimed at a later date in the prosecution of a patent application. See, for example, In re DiLeone (DiLeone II), 58 CCPA 934, 436 F.2d 1033, 168 USPQ 598 (1971), and In re DiLeone (DiLeone I), 58 CCPA 925, 436 F.2d 1404, 168 USPQ 592 (1971), where Judge Baldwin, in dissent, stated at 58 CCPA at 929, 436 F.2d at 1406-07, 158 USPQ at 594-95:

In the case before us, the Patent Office tribunals and in particular, the examiner—who must be presumed by us to be skilled in the pertinent art in the absence of evidence to the contrary—have disputed the fact that the scope of appellants' invention would be obvious from the language of the description. Keeping in mind the well-known unpredictability of the chemical sciences, I find that the examiner's objections were reasonable. Beyond asserting that they are entitled to the broad claims they are seeking, appellants have not contradicted this position. Feeling, as I do, that the description requirement should serve to assure that one of ordinary skill in the pertinent art will, in fact, be taught by a specification disclosure, I conclude that the disclosure before us does not adequately describe the subject matter being claimed. [Footnote omitted.]

Here, however, where the broader concept of using "inert fluid" would naturally occur to one skilled in the art from reading appellants' description of the use and functions of the segmentizing media specifically described, we see no basis for denying appellants the claims which recite the segmentizing medium broadly as an "inert fluid." The alternative places upon patent applicants, the Patent Office, and the public the undue burden of listing, in the case of applicants, reading and examining, in the case of the Patent Office, and printing and storing, in the case of the public, descriptions of the very many structural or functional equivalents of disclosed elements or steps which are already stored in the minds of those skilled in the arts, ready for instant recall upon reading the descriptions of specific elements or steps.

We are not saying that the disclosure of "air or other gas which is inert to the liquid" sample by itself is a description of the use of all "inert fluid" media. Rather, it is the description of the properties and functions of the "air or other gas" segmentizing medium described in appellants' specification which would suggest to a person skilled in the art that appellants' invention includes the use of "inert fluid" broadly. The Kessler patent is only some additional evidence of the knowledge of one skilled in the automatic sample-analysis art, and as such it supports appellants' position

that to such persons appellants' description conveys the idea of using inert fluids broadly.

A hypothetical situation may make our point clear. If the original specification of a patent application on the scales of justice disclosed only a 1-pound "lead weight" as a counterbalance to determine the weight of a pound of flesh, we do not believe the applicant should be prevented, by the so-called "description requirement" of the first paragraph of § 112, or the prohibition against new matter of § 132, from later claiming the counterbalance as a "metal weight" or simply as a 1-pound "weight," although both "metal weight" and "weight" would indeed be progressively broader than "lead weight," including even such an undisclosed, but obviously art-recognized equivalent, "weight" as a pound of feathers. The broader claim language would be permitted because the description of the use and function of the lead weight as a scale counterbalance in the whole disclosure would immediately convey to any person skilled in the scale art the knowledge that the applicant invented a scale with a 1-pound counterbalance weight, regardless of its composition. Likewise, we find in the facts here a description of the use and function of the segmentizing medium which would convey to one skilled in the sample-analysis art the knowledge that applicants invented a sample analyzer with an inert fluid segmentizing medium.

[5] Turning to the precedents, in In re Reynolds, 58 CCPA 1287, 443 F.2d 384, 170 USPQ 94, 98 (1971), this court quoted with approval the following from the opinion in Technicon Instruments Corp. v. Cole Instruments, Inc., 425 F.Supp. 630, 150 USPQ 227 (N.D. Ill. 1966), aff'd., 385 F.2d 391, 155 USPQ 369 (7th Cir. 1967):

By disclosing in a patent application a device that inherently performs a function, operates according to a theory, or has an advantage, a patent applicant necessarily discloses that function, theory or advantage even though he says nothing concerning it. The application may later be amended to recite the function, theory or advantage without introducing prohibited new matter.

We agree with that statement, whether, as here, in the context of an ex parte rejection under the description requirement of the first paragraph of § 112, the right to make a count in an interference, *Woofert v. Carlson*, 54 CCPA 917, 367 F.2d 436, 151 USPQ 407 (1966), the right to rely upon a prior application under 35 U.S.C. 120 which complies

<sup>3</sup> The case involved, interestingly enough, the validity of the two Skeggs '149 and '141 patents, inter alia, which were cited as § 103 references here.







his claims "as to exclude matter which would be inoperative and [which] would not try" claims which would fail to

U.S.C. 112, second paragraph would be so detailed as to have to particularly point out the invention. In *Re A* 1129, 410 F.2d 420, 161 (Ct. Cl. 1971), quoted with approval in *In re* 1129, 410 F.2d 1237, 176 USPQ 331 (Ct. Cl. 1971), we therefore cannot agree with the rejection under the first paragraph of 112 is any more sustainable under term "fluid" includes which might not work.

#### "Inert Gas"

have been rejected under 35 U.S.C. 112, first paragraph one, on the ground that the term "inert gas" used in the specification requires the use of gases other than the segmentizing medium, the specification does not enable one skilled in the art to make and use the invention. The specification describes the segmentizing medium as aspirated from the atmosphere through the apparatus. The Patent Office requires the use of an inert gas other than that taught by the specification which would enable one skilled in the art to make the invention.

maintain here, as they did before, that the Skeggs '149 patent, which recites "medium, liquid or gas, can be drawn along a pump tube by vacuum on the side of the pump tube to draw the medium. This Skeggs reference point out, shows a series of drawing fluid lines which lead to the use of liquid or air, and appellant's argument that it would not encompass union to arrive at a satisfactory procedure to employ liquid and air." We find ourselves in agreement with the appellants. See *In re Bor* 1129, 410 F.2d 904, 164 (Ct. Cl. 1971).

of claims 34, 37-40, 43, 44,

of considering this ground of rejection necessary to decide whether the term "inert gas" is immiscible with said liquid is a chemically "inert" gas or is inert with respect to the liquid in the original specification the term is described as "air or other gas which is not inert \* \* \*." For the enablement rejection relates to the use of a gas other than atmospheric; it cannot be merely aspirated from the atmosphere; it does not matter whether it is an inert gas.

and 47-50 under 35 U.S.C. 112 are accordingly reversed.

The decision of the board is reversed as to all claims on appeal.

BALDWIN, Judge, dissenting in part.

I would affirm the rejection of claims 34, 37-40, 43 and 44 under the first paragraph of section 112 of the statute. The critical term in these claims, "fluid," is a generic term, which for our purposes may be considered to be made up of two major subgenera—liquids and gases. I agree that the appellants' specification adequately describes the genus of gases which meet the other claimed criteria, i.e., gases which are "inert to the sample liquid," which is how I interpret the recitation "inert gas." However, I cannot agree that the specification contains an adequate description of the genus "fluid" under any reasonable standard. In the first place, only the subgenus "inert gases" is described, and it is apparent that one skilled in this art would not infer "inert fluids" from a description of "inert gases" alone. Secondly, it cannot be questioned that any other members of the "genus" (in this case there being only one, viz. "inert liquids") is anywhere disclosed or implied in the specification. Thus description of the genus cannot be inferred from the supplied descriptions of its member species. The final possibility is a description of the genus itself, either in *ipsis verbis*, which clearly is not present here, or implied, such as through a complete description of the properties which define the genus, which the majority contends exists in this case. I submit that the majority is in error.

The following excerpt is sufficiently representative of the contents of the specification concerning the segmentizing medium (emphasis supplied):

The primary object of the present invention is to improve the precision of quantitative analysis of the samples of liquid. We have discovered that this important object can be accomplished by utilizing as much as possible liquid conduits, such as Teflon tubing, which have non-wetting surfaces instead of wettable surfaces, and by washing the flow cell between the passage of successive samples therethrough with one or more bubbles of air or other gas which is inert to the liquid transmitted through the conduits and through the flow cell, whereby contamination of one sample by another is prevented or is negligible. Further, the sample liquid which is transmitted through the flow cell during the analysis operation, at which time a record of the analysis is made, has a volume at least as large and preferably larger than the volume of the flow cell, so that there is no air in the flow cell when the liquid analysis operation is being per-

formed. More specifically, while one or more segments or bubbles of the air or other gas are introduced into the liquid stream for separating one sample from another in the apparatus and for washing the conduits and the flow cell, it is unnecessary to remove said bubbles before transmission of the treated liquid samples through the flow cell, since the segmentation of the liquid stream by air bubbles is such that a sufficient volume of the treated sample liquid is devoid of air bubbles to enable its analysis as it flows through the flow cell. By reason of the provision of a volume of non-segmented treated liquid sample sufficiently large for analysis, namely, a volume of treated liquid sample at least as large as the volume of the flow cell, blending of segments of the same liquid sample and the need for removal of a comparatively large number of air bubbles are obviated.

Throughout the specification, only inert gas and, more often, air, which is used in the preferred embodiment, are mentioned as segmentizing mediums. There is no further discussion of the properties which those mediums have which make them useful. Thus the two properties relied on by the majority as delineating the properties of a "fluid," i.e., (1) the ability of the medium to take "the shape of the supply lines and the flow cell through which it passes" and (2) its ability to resist "any force which may tend to change its volume," are never disclosed or discussed in the specification at all. True enough, the inert gases described by appellants inherently would possess the first property mentioned, and presumably even the most compressible of gases would qualify as having the second property "to some extent," as broadly phrased by the majority. But what the majority is saying is that the description requirement as to "inert fluids" is satisfied in this case, even though that genus is not specifically described by name, because it is impliedly described by the properties which define it, even though those properties are not specifically described by naming them, because those properties are impliedly described—by what?—by the fact that the members of the subgenus which is specifically described inherently possess those properties. What about those properties inherently possessed by gases which are strikingly different from those of liquids and would suggest that liquids would not be useful, such as density and viscosity?

More importantly, what kind of mental gymnastics are we going to force the skilled in the art to go through in order to determine what is described in a patent specification? The statute directs the specification to the skilled in the art, and that has two effects on

the requirements for its content. Of course it establishes that the intended reader is not a babe in the woods, and that therefore the specification need not be burdened with those details which are already generally known in the art. But the intended reader is *no more* than one skilled in the art. He is not some master of law or logic who can be expected to inveterately expand each nuance contained in a specification into pyramids of disclosure. It is a general level of specialized knowledge which is required of him, not genius.

As to the majority's speculation that the washing function of the segmentizing medium would be "better performed using liquid rather than gas," it is not only not supported by the record, but is also contrary to the implications of the only pertinent teachings in the record. Kessler, *whose invention it was to use a liquid segmentizing medium rather than a gas*, states as follows (emphasis supplied):

In accordance with the present invention and pursuant to one of the objects thereof, the use of air or other inert gas as the cleansing agent is dispensed with and replaced by a liquid, in order to obviate certain difficulties which may be encountered when air or other compressible fluids are employed as the cleansing agents. In this connection, it will be understood that in most instances, *the use of air as the cleansing agent is to be preferred because it is highly effective for this purpose* and is readily available, without cost, from the ambient atmosphere. However, in certain processes, e.g., in spectral-flame analyses, the compressibility of air or other inert gas may result in pulsations of the liquid introduced into the spectral flame and thus cause the flame to flicker or be unsteady. This objectionable result is eliminated pursuant to this invention, since liquid is incompressible.

In the final analysis, I believe that support for the majority's position reduces to the existence of the Kessler patent, which, I agree, is *some* evidence that the knowledge that liquid segmentizing mediums can be used was part of the general level of skill in this art. The question becomes whether this evidence is enough on the facts of this case to establish that the use of liquid segmentizing mediums was generally known in this art. If it is, then appellants are entitled to claims directed to the use of fluids (or for that matter to liquids, which as a practical matter is the same thing here) even though appellants never mentioned those embodiments in their specification as filed, at least insofar as the description requirement is concerned. Of course, it must be *generally* known—the statute directs the specification to those skilled in the art, not just to one or two practitioners who might happen to know it.

Before us we have appellants' specification, which is very strictly limited to "air or other gas inert to the sample liquid." The segmentizing medium is nowhere described as a "medium" or a "fluid." The specification is so strictly limited as to give rise to an implication that appellants considered such a limitation important. The record before us contains twenty-five patents, twenty-four of which have disclosures which are limited to air, or other inert gas in a manner similar to appellants' disclosure. To me that indicates that the only segmentizing medium which would "naturally occur" to one skilled in the art reading appellants' specification is air, or other gas inert to the liquid samples. The mere existence of the Kessler patent, which states that the preferred segmentizing medium is gaseous in all but a few special cases, is not enough to overcome what the other evidence indicates is the general knowledge of those skilled in the art.

I would therefore affirm the rejection of claims 34, 37-40, 43 and 44.

### Court of Customs and Patent Appeals

FLYNN V. EARDLEY, STOCKER,  
AND LONG

No. 8865

Decided June 28, 1973

#### PATENTS

##### 1. Specification — Sufficiency of disclosure (§ 62.7)

It is not necessary to name claimed compounds in order to comply with first paragraph of 35 U.S.C. 112; further, preference for specific claimed compounds is not necessarily a requirement; however, specification must contain adequate direction which reasonably leads skilled in the art to compounds which it is later desired to claim; a discussion of preferences for various aspects of the invention may be one way in which to supply such direction.

##### Particular patents—Cephaloridine Salts

3,280,118, Eardley, Stocker, and Long, Cephaloridine Salts, awarded priority against Flynn application.

Appeal from Board of Patent Interferences of the Patent Office.

Patent interference No. 96,095 between Edwin H. Flynn, application, Serial No.

398,028, filed Sept. 21, 1964, Eardley, Gabrielle Stocker, and Long, Patent No. 3,280,118, is: 1966, on application filed Nov. 4 decision awarding priority Stocker, and Long, Flynn appeal

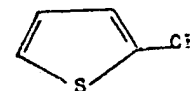
HERMAN HERSH, Chicago, Ill. J. NOLDS, Indianapolis, Ind., a LITTLEPAGE, Washington, D. C. lant.

FRED T. WILLIAMS and JOHN J. both of Chicago, Ill., and J. and FRANCIS D. THOMAS, Washington, D. C., for appeal.

Before MARKEY, Chief Judge, WIN, and LANE, Associate WATSON, Judge, United S. Court, sitting by designation.

BALDWIN, Judge.

This appeal is from the c Board of Patent Interferences a



with an anion of an acid h not greater than 4.

Appellant's application '85 U. S. Patent No. 3,218,318, w Flynn patent treated in the ca ley, 59 CCPA 804, 455 F.2d 524 (1972). In that case, the was whether the disclosure in was sufficient to anticipate a c pound cephaloridine. In the issue is whether cephaloridin in application '855 within th U.S.C. 112. If it was, then ap vail on the basis of that ap date, for there is no questi closure sufficiently describes salts if cephaloridine per se scribed.

The disclosure of the Flynn lyzed in depth in the opinio case.<sup>1</sup> We see no need to rep here. The only pertinent c closure between applicatio Flynn patent is that the app ally contained original claim

<sup>1</sup> Before us, appellants occas refer to the principal opinion in jority" opinion. There was no Arkley. There was only a deci that the board's decision had to